



Advice fee consent form.

Consent to deduct financial advice services fees from your Hostplus account.

August 2024

This form must be completed in full. Please use BLOCK letters. Complete all fields marked with an asterisk (*). This request will be invalid if it is unsigned or undated.

You can consent to deduct all or a portion of the Advice Fee from your Hostplus account to cover the cost of personal advice where it relates to your interest in Hostplus (subject to an annual financial year cap of \$6,000 or 2% of the account balance, whichever is lowest)¹.

Note, you must retain a minimum of \$15,000 in your Hostplus account after the deduction of the Advice Fee is applied.

i To request a non-ongoing advice fee to be deducted from your Hostplus account, your financial adviser must have completed a Licensee Agreement with Hostplus and have authority to obtain information regarding your Hostplus account/s.

Why does Hostplus need your consent?

Hostplus is required by law to obtain your written consent before the Advice Fee can be deducted from your Hostplus account. If you are not happy with the amount or the services described, you do not have to sign this consent.

What services will your financial adviser provide for the non-ongoing fee you will pay?

Advice fee deductions are only applicable to the advice and services as described in your advice document and/or service agreement, pertaining to your Hostplus account.

How long does your consent last?

Your consent expires once the fee amount is deducted from your Hostplus account. This means your financial adviser/ financial planning business will seek your consent again for future Advice Fee deductions from your account.

How can you withdraw your consent?

You may withdraw your consent to the deduction of the Advice Fee from your account by contacting Hostplus, however your withdrawal must occur before Hostplus has deducted the amount from your Hostplus account.

Privacy collection statement

Hostplus needs to collect and may collect further personal information from you in order to deduct the Advice Fee from your Hostplus account. This information is detailed in this form.

We need to collect the requested information to process your request. If you do not provide us with the requested information, we may be unable to properly process this application. Your personal information will only be disclosed to Hostplus staff involved in the assessment of your application, our administrators MUFG Pension & Market Services Holdings Limited and/or our legal or other professional advisors if reasonably necessary.

The Hostplus Privacy Policy provides information about overseas disclosure of personal information, how you may access and seek correction of your personal information as well as how you can make a complaint about a breach of the Australian Privacy Principles or the Privacy Act 1988. You can access the Hostplus Privacy Policy at hostplus.com.au/privacy. For all privacy related questions or privacy related matters you can contact Hostplus on 1300 467 875 or via email at privacy@hostplus.com.au

1. Advice fee requests outside these limits may only be approved at the discretion of the Trustee.

1

Provide your personal details

*Denotes mandatory fields

Hostplus membership number*

Title

Mr

Mrs

Ms

Dr

Other

Given names*

Surname*

Date of birth*

Gender

Male

Female

Current address*

Suburb

State

P/C

Country

Home phone

Mobile phone*

Work phone

Email address*

2

Provide the details of your nominated financial adviser

Full name of financial adviser*

ASIC Financial Adviser number*

Licensee name & AFSL number*

Name of business*

Business address

Suburb

State

P/C

Country

Email address*

Mobile phone*

Work phone

You can request an advice fee deduction for advice and services related to your Hostplus account as a:

- One-off advice fee (Please complete section A); and/or a
- Fixed term advice fee for a period of no greater than 12 months (Please complete section B).

Note: An ongoing fee arrangement of more than 12 months cannot be deducted from a Hostplus account.

Advice Fees are deducted in accordance with the current:

- future contributions instruction, for superannuation accounts; and
- drawdown instructions, for pension accounts.

Fees cannot be deducted from a defined benefit account.

A) One-Off Advice Fee

One-off advice fee deductions are only applicable to advice and services provided in an advice document (e.g. Statement of Advice) that relates to your Hostplus account. This form must be signed within 60 days of the date of that advice.

Advice Fee

If you sign this Advice Fee consent, you are providing your consent to pay the following **non-ongoing fee** for service from your Hostplus account(s).

\$, . total to be charged to your Hostplus Account inc GST

This fee represents % of the total advice fee you have agreed to pay your financial adviser, which is also outlined in the advice provided to you.

Please select the advice provided. Tick all that apply.

Advice Topic	Advice related to your Hostplus account	Advice not related to your Hostplus account
Superannuation advice (e.g. new super/pension, contributions, investment choice, consolidation, super withdrawals, pension payments etc)	<input type="checkbox"/>	<input type="checkbox"/>
Pre-retirement/retirement planning, including modelling and social security	<input type="checkbox"/>	<input type="checkbox"/>
Insurance	<input type="checkbox"/>	<input type="checkbox"/>
Estate planning	<input type="checkbox"/>	<input type="checkbox"/>
Debt/cashflow management	<input type="checkbox"/>	<input type="checkbox"/>
Implementation	<input type="checkbox"/>	<input type="checkbox"/>
Non-super investment advice (e.g. managed funds, direct shares etc)	<input type="checkbox"/>	<input type="checkbox"/>
Other (please nominate)	<input type="checkbox"/>	<input type="checkbox"/>

B) Fixed Term Fee Arrangement

An advice fee under a service agreement with your financial adviser for a period of 12 months or less may be requested where the advice and services relate to your Hostplus account.

Please provide the relevant time period of your service agreement, and **attach a copy of your service agreement with this advice fee request.**

Start date: End date:

Advice Fee

If you sign this Advice Fee consent, you are providing your consent to pay the following **non-ongoing fee** for service from your Hostplus account(s).

\$, . total to be charged to your Hostplus Account inc GST

This fee represents % of the total advice fee you have agreed to pay your financial adviser, which is also outlined in the service agreement provided to you.

Please select the services you've agreed to and are entitled to receive from your financial adviser. Tick all that might apply.

Advice services to be provided under the Fixed Term Arrangement	Advice related to your Hostplus account	Advice not related to your Hostplus account
Personal advice	<input type="checkbox"/>	<input type="checkbox"/>
Review meeting(s)	<input type="checkbox"/>	<input type="checkbox"/>
Implementation and administrative support	<input type="checkbox"/>	<input type="checkbox"/>
Access to your Adviser and/or their support staff	<input type="checkbox"/>	<input type="checkbox"/>
Other services	<input type="checkbox"/>	<input type="checkbox"/>

4 Member declaration

The Member represents that they:

- have provided information that is correct and will be used to action this request.
- have read the privacy collection statement (on page 1 of this form) and understands how Hostplus will use their personal information.
- have received, or agreed to receive advice from their Adviser and have been provided with **details that fully outline** the fees and charges associated with the financial advice pertaining to their Hostplus account, and that Hostplus will deduct these fees and charges from this account.

Hostplus retains discretion to, in any particular case:

- refuse to pay an Advice Fee; or
- make payment of an Advice Fee for only a portion of the amount specified in an Advice Fee consent
- seek information from your adviser or your adviser's licensee, including your advice document and/or any supporting information.

I, the Member, understand that:

- Hostplus may obtain a copy of the relevant advice document and/or supporting information;
- by signing below, I consent to the deduction of the amount stated in section 3 above from my Hostplus account;
- only Advisers registered with Hostplus can be paid the Advice Fee from my account; and
- fee limits and restrictions on the types of advice fees that I can pay from my Hostplus account apply. If the advice fee does not meet the requirements, I may need to pay for the cost of the advice personally.

Member Signature*

Date*

5 Adviser declaration

This Advice Fee, once approved, will be deducted from the Member's Hostplus Account and paid to the Adviser via the Licensee.

Hostplus will not pay an Advice Fee to the Licensee unless:

- it has received this Advice Fee consent form with respect to that Advice Fee which is in effect, valid and signed by the Member and the Adviser; and
- the funds available in the Member's Hostplus account exceeds the minimum account balance of \$15,000 after the advice fee is deducted; and
- the Advice Fee is subject to an annual cap of \$6,000 or 2% of the account balance, whichever is lowest

The Adviser acknowledges and agrees:

- the Advice Fee is solely to pay for Authorised Services related to the Member's interest in the Hostplus account.
- to the Adviser & Licensee Terms and Conditions available at hostplus.com.au/advisers/tools-and-resources
- that Hostplus can only pay an Advice Fee, via the Licensee, where there is a valid Adviser & Licensee Agreement in place.
- that the Advice Fee has been agreed to and understood by your client and is:
 - solely to pay for advice related to the Member's interest in the Hostplus fund,
 - not an ongoing advice fee and is to pay for advice or services related to your clients Hostplus account(s)
 - only payable if the minimum account balance of \$15,000 is retained after the fee is deducted
 - aligns with the fee guidance provided by Hostplus and where necessary further information to support the fee has been provided
 - meets the obligations set out in the *Financial Planners and Advisers Code of Ethics 2019* (Code of Ethics)
- to provide a copy of the relevant advice document(s) and supporting material if requested
- that Hostplus retain the discretion to decline a request to pay the Advice Fee in whole or part, including in order to comply with its obligations under any applicable law
- immediately notify Hostplus if it knows or suspects that an Advice Fee has been charged in breach of any relevant law.
- that if Hostplus knows or suspects that an Advice Fee has been charged in breach of any relevant law or following the death of a Member, it may, by notice to the Licensee, require the Licensee to refund the Advice Fee to Hostplus within 14 days.

Signature of Adviser*

Date*



It is important that you answer all questions on this form which will be 'in-confidence' when completed.



Please send your completed form to:

Email: advisers@hostplus.com.au or

Post: Hostplus, Locked Bag 5046, Parramatta NSW 2124

Contact us on **1300 467 875** or online at hostplus.com.au