



Advice fee consent form.

Consent to deduct financial advice services fees from your Hostplus account.

November 2021

This form must be completed in full. Please use BLOCK letters. Complete all fields marked with an asterisk (*). This request will be invalid if it is unsigned or undated.

You can consent to deduct all or a portion of the Advice Fee from your Hostplus account to cover the cost of personal advice where it relates solely to your interest in Hostplus (subject to an annual financial year cap of \$3,000 or 1.5% of the account balance, whichever is lowest)¹.

To be eligible you must submit this form along with a copy of the invoice within **60 days** of receiving the Statement of Advice.

Note, you must retain a minimum of \$6,000 in your Hostplus account after the deduction of the Advice Fee is applied.

Why does Hostplus need your consent?

Hostplus is required by law to obtain your written consent before the Advice Fee can be deducted from your Hostplus account. If you are not happy with the amount or the services described, you do not have to sign this consent.

What services has your financial planner provided for the non-ongoing fee you will pay?

Advice Fee deductions are only applicable to advice and services provided in your Statement of Advice pertaining to your Hostplus account.

How long does your consent last?

Your consent expires once the amount is deducted from your Hostplus account. This means your financial planner/financial planning business will seek your consent again for future Advice Fee deductions from your account.

How can you withdraw your consent?

You may withdraw your consent to the deduction of the Advice Fee from your account by contacting Hostplus, however your withdrawal must occur before Hostplus has deducted the amount from your Hostplus account.

Privacy collection statement

Hostplus needs to collect and may collect further personal information from you in order to deduct the Advice Fee from your Hostplus account. This information is detailed in this form.

We need to collect the requested information to process your request. If you do not provide us with the requested information, we may be unable to properly process this application. Your personal information will only be disclosed to Hostplus staff involved in the assessment of your application, our administrators Australian Administration Services (AAS) Link Group and/or our legal or other professional advisors if reasonably necessary.

The Hostplus Privacy Policy provides information about overseas disclosure of personal information, how you may access and seek correction of your personal information as well as how you can make a complaint about a breach of the Australian Privacy Principles or the Privacy Act 1988. You can access the Hostplus Privacy Policy at hostplus.com.au/privacy. For all privacy related questions or privacy related matters you can contact Hostplus on **1300 467 875** or via email at privacy@hostplus.com.au

Hostplus membership number*

Title

Mr

Mrs

Ms

Dr

Other

Given names*

Surname*

Date of birth*

Gender

Male

Female

Current address*

Suburb

State

P/C

Country

Home phone

Mobile phone*

Work phone

Email address*

Name of business*

Hostplus Adviser Online ID*

ASIC Financial Adviser Number*

ABN*

AFSL Number*

Full name of financial planner*

Postal address

Suburb

State

P/C

Country

Email address*

Home phone

Mobile phone*

Work phone

3 Enter the advice type provided and advice fee.

Authorised Services

Advice Fee deductions are only applicable to advice and services provided in your Statement of Advice pertaining to your Hostplus account. Please specify the advice provided and fee for deduction. Tick all that apply.

The suitability of your existing superannuation arrangements

Investment choice advice

Whether you will have enough superannuation for your retirement

Insurance arrangement advice

Contribution advice

Establishment of income stream

Other (please specify):

Advice Fee

If you sign this Advice Fee Consent, you are providing your consent to pay the following **non-ongoing fee** for service from you Hostplus account(s).

\$, . total to be charged to your Hostplus Account inc GST

4 Member declaration

The Member represents that they:

- have provided information that is correct and will be used to action this request.
- have read the Privacy Collection Statement and understands how Hostplus will use their personal information.
- have received a Statement of Advice from my Adviser that fully outlines the fees and charges associated with the financial advice pertaining solely to advice provided on my Hostplus account, and that Hostplus will deduct this fee from my Hostplus account.

A Member may revoke a Advice Fee Consent at any time by notice to Hostplus (in writing or verbally). On receiving a revocation by a Member, Hostplus will:

- notify the Adviser that the revocation has been received; and
- not make any further payments under the Advice Fee Consent.

Hostplus retains discretion to, in any particular case:

- refuse to pay an Advice Fee in accordance with a Advice Fee Consent; or
- make payment of an Advice Fee for only a portion of the amount specified in a Advice Fee Consent for any reason and without giving any reasons.

I understand that:

- by signing below, I consent to the deduction of the amount stated in section 3 above from my Hostplus account;
- only Advisers registered with Hostplus can be paid the Advice Fee from my account; and
- fee limits apply on the Advice Fee that I can pay from my Hostplus account. If I exceed these limits, I'll need to pay the additional cost of the advice myself.

Signature of applicant*

Date*

5 Adviser declaration

This Advice Fee Consent Form, once approved, will be deducted from the Members Hostplus Account and paid to the Adviser via the Licensee.

Hostplus will not pay an Advice Fee to the Licensee unless:

- it has received this Advice Fee Consent Form with respect to that Advice Fee which is in effect, valid and signed by the Member and the Adviser; and
- the funds available in the Member's Hostplus account exceed the Advice Fee subject to an annual cap of \$3,000 or 1.5% of the account balance, whichever is lowest¹.

The Adviser acknowledges and agrees:

- the Advice Fee are solely to pay for Authorised Services related to the Member's interest in the Hostplus account.
- the Adviser Online Registration Terms and Conditions available at <https://hostplus.com.au/financial-planning/adviser-terms>
- that Hostplus can only pay an Advice Fee, via the Licensee, where there is a valid 'Agreement for payment of Advice Fee[s] and to receive Recipient Created Tax Invoice[s] [RCTI]' form in place between Hostplus and the Licensee.
- that if the Licensee does not provide Hostplus a valid 'Agreement for payment of Advice Fee[s] and to receive Recipient Created Tax Invoice[s] [RCTI]' form within six months of the first Advice fee deduction from a Hostplus Member's account, under this Advice Fee request, the deducted Advice Fee will be refunded to the Hostplus Member.
- to provide a copy of an SOA or ROA if requested within a reasonable amount of time.
- immediately notify Hostplus if it knows or suspects that an Advice Fee has been charged in breach of any Relevant Law.
- that if Hostplus knows or suspects that an Advice Fee has been charged in breach of any Relevant Law or following the death of a Member, it may, by notice to the Licensee, require the Licensee to refund the Advice Fee to Hostplus within 14 days.

Signature of Adviser*

Date*

1. the annual cap is per financial year.

6 Provide proof of your identity.

Hostplus use

For help with certifying your ID you can speak to your Adviser, call us on **1300 467 875** or visit hostplus.com.au/forms-and-brochures for our Certifying Your ID Guide.

I have attached the following certified ID:

- driver's licence or passport,
- one of each of the following two groups:
- Birth Certificate, Citizenship Certificate or Centrelink Pension Card, and
 - Notice issued to your current address by Commonwealth, State or Territory Government (for example a Centrelink payment letter), ATO or Local Government body (for example a Council rates notice), or utility provider.



Please note, photocopied forms can be processed with the original signature, however fax or scanned copies cannot be processed.

It is important that you answer all questions on this form which will be 'in-confidence' when completed.



Please send your completed form and a copy of the invoice relating to financial advice pertaining to your Hostplus account to: Hostplus, Locked Bag 5046, Parramatta NSW 2124.

Contact us on **1300 467 875** or online at hostplus.com.au